



**CERTIFICATION  
INSTRUCTION**

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<b>CERTIFICATION INSTRUCTION NUMBER</b>	CI-15-02
<b>ISSUED BY</b>	<b>Inês Santos, FAMI-QS Technical Manager</b> <b>Issue date:</b> 2018-01-19
<b>TYPE OF INSTRUCTION</b>	<input type="checkbox"/> Immediate Implementation <input type="checkbox"/> Information <input checked="" type="checkbox"/> Interpretation <input type="checkbox"/> FAMI-QS Awareness
<b>APPLICABLE FOR</b>	<input checked="" type="checkbox"/> Certification Bodies <input checked="" type="checkbox"/> Feed Business Operators <input checked="" type="checkbox"/> Accreditation Bodies <input type="checkbox"/> Authorised Training Organisations <b>Starting date:</b> 2018-01-19
<b>PURPOSE</b>	Implementation of the Rules for Certification Bodies, Version 7
<b>SUBJECT</b>	The decision tree for Assured Sources

<b>CERTIFICATION INSTRUCTION</b>	<p>The requirements of the “Assessment of suppliers and Assured Sources” are applicable for any FAMI-QS certified Operator, independently of its products’ country of destination (in the EU or outside the EU).</p> <p>Prior to the implementation of the “decision tree”, the feed business operator shall define and list which types of raw materials enter the production process. This applies to <b>producers</b> and <b>traders</b> (for trade, raw material shall be considered the final product that they purchase).</p> <p>The raw materials that enter the production process shall be defined according to both their type and their use. Three types of raw material can be tested by the decision tree: <b>Chemicals/ Intermediates, Feed Additives and Feed Materials</b>.</p> <p><b>Feed Additive</b> - Any ingredient that is listed in the ‘EU Feed Additive Register’. In order to consult it, please visit the link below: <a href="http://ec.europa.eu/food/food/animalnutrition/feedadditives/comm_register_feed_additives_1831-03.pdf">http://ec.europa.eu/food/food/animalnutrition/feedadditives/comm_register_feed_additives_1831-03.pdf</a> .</p> <p><b>Feed Material</b> (feed ingredients) - For feed materials, a cross-check with the ‘Catalogue of Feed Materials’ is required: (<a href="http://eurlex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2013:029:0001:0064:EN:PDF">http://eurlex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2013:029:0001:0064:EN:PDF</a>).</p> <p><b>Note 1:</b> The prohibited materials listed in Regulation (EC) No 767/2009, Annex III, Chapter 1 and Commission Decision 2004/217/EC shall not be used in feed production.</p> <p>Following the definition of the type of raw material, its use shall be taken into account. If the raw material remains in the final product, the associated risk shall be considered during the further proceeding.</p>
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Example:

If you use a vitamin (feed additive) to feed a microorganism in, e.g. a fermentation process, said vitamin shall be considered as an 'intermediate'.

**CHEMICAL/INTERMEDIATE - DECISION TREE: A**

Any raw material, other than a feed additive or a feed material, that is manufactured for, consumed in, or used for the production process of feed additives, functional feed ingredients, premixtures, specialty complementary feed or specialty complementary dietetic feed, in order to be transformed into another substance.

**ASSURED SOURCES - DECISION TREE: B OR C**

*Applicable for feed additives and feed materials only.*

**RECOGNIZED STANDARDS****I. Feed Grade Specialty Feed Ingredients**

Suppliers that are certified with one of the following mutually recognised standards are considered as assured sources:

- a) FAMI-QS
- b) GMP+ International
- c) Feed Chain Alliance (OVOCOM)
- d) UFAS/FEMAS

FAMI-QS is also accepting the following standards :

- e) QS Qualität und Sicherheit GmbH – *for Europe*
- f) *Sindirações Level 2 – Sindirações Level 2 certified Operator is recognised as an assured source only for the supply of feed ingredients to FAMI-QS certified Operators in Brazil. Sindirações Level 2 certified Operator is not considered as an assured source when the FAMI-QS certified Operator is based outside Brazil.*

The specialty feed ingredients concerned shall be part of the certificate of the recognized standard.

**II. Food Grade Specialty Feed Ingredients****i. Food additives**

If the ingredient is a food additive, suppliers fulfilling the following requirements are considered as assured sources:

- a) ISO 22000 certified + self-declaration of compliance with the JECFA (joint FAO/WHO expert committee on food additives) specification

- b) FSSC 22000 certified + self-declaration of compliance with the JECFA (joint FAO/WHO expert committee on food additives) specification
- c) ISO 9001 + documented HACCP programme in place + self-declaration of compliance with the JECFA (joint FAO/WHO expert committee on food additives) specification

**ii. Food ingredients**

If the ingredient is food grade, suppliers that are certified with one of the following standards are considered as assured sources:

- a) ISO 22000
- b) FSSC 22000
- c) ISO 9001 + documented HACCP programme in place

**III. Pharma Grade Specialty Feed Ingredients**

If the ingredient is pharma grade, suppliers fulfilling the following requirement are considered as assured sources:

- a) Certification according to Pharma GMP, with the product name included (API) in it.

**Note 2:** If the supplier is an assured source, feed business operators shall **IMPLEMENT** and will not exclude the requirements that are addressed in the FAMI-QS Code Version 5.1 chapter 7.4.1.

**NON-ASSURED SOURCES - DECISION TREE: D**

*Applicable for feed additives and feed materials only.*

The supplier that does not fulfil any of the above-mentioned conditions is considered to be a non-assured source.

In case the supplier is a non-assured source, an **audit** at the supplier's premises is required. In that case, the audit needs to be conducted at least within six months after the raw material's delivery.

Operators must present a realistic audit plan for their non-assured suppliers. They have to audit all their suppliers at least once, during the three years of the certification cycle.

As an alternative to the audit of a non-assured supplier, the Operator must perform a **separate risk assessment** based on the criteria described on chapter 7.4.1 of the Code Version 5.1, which must justify the use of the raw material, without a FAMI-QS audit, at the supplier's production location. The auditor must assess the implementation of the suppliers' audit programme and/or the risk assessment.